

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew C. Gilbert, et al.
Serial No.: 09/851,848 Examiner: Akintola, Olabode
Filed: May 9, 2001 Group Art Unit: 3624
Title: **SYSTEMS AND METHODS FOR CONTROLLING
TRADERS FROM MANIPULATING ELECTRONIC
TRADING MARKETS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

This paper is being submitted in response to the Office Action in the present application dated September 25, 2006.

Amendment to the Claims begin on page 2.

Remarks begin on page 7.